

#161 Reg for Refund

02-10-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pat nt Application S rial No. 09/730,335
 Filing Date D cember 4, 2000
 Inventor Charles H. Dennison
 Assignee Micron Technology, Inc.
 Group Art Unit 2811
 Examiner Ori Nadav
 Attorney Docket No. MI22-1577
 Title Field Effect Transistors and Integrated Circuitry

Commissioner for Patents and Trademarks

ATTENTION: Refund Section
 Accounting Division
 Office of Finance

Washington, D.C. 20231

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THIRD REQUEST FOR REFUND

1. **REFUND REQUEST:** This is a request for a refund in accordance with 37 CFR § 1.26, for claims paid for in excess of the number actually filed in the above-identified patent application.
2. **FEES CHARGED FOR WHICH REFUND REQUESTED:** \$718.00.

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CERTIFICATE OF MAILING
 (37 CFR § 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231.

FACSIMILE

- ☒ transmitted by facsimile to the Patent and Trademark Office at (703) 308-6778

Number of pages: 11

Rebecca Joyce

Date: _____

Date: 11-14-02

MI221577.011.wpd

(Request for Refund - page 1 of 2)

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3. **EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR:** 40 total claims in excess of 20 and 2 independent claims in excess of 3 were paid for at the time of the initial filing of this patent application. However, the total number of claims actually in the application at the time of filing was 1 total claim in excess of 20 and no independent claims in excess of 3.

Additionally, on 06/25/02 the PTO charged our deposit account no. 23-0925 for \$160 for 2 independent claims in excess of 3, and \$558 for 31 claims in excess of 20. A refund for 31 total claims (\$558.00) and 2 independent claims (\$160.00), for a total of \$718.00, is requested.

Included with this Request for Refund are copies of the Fee Transmittal and the Preliminary Amendment from the initial filing of this patent application. A physical count of the claims listed in the Preliminary Amendment will reveal that only 21 claims (claims 57-77) were initially filed, of which only two are independent claims (claims 57 and 62).

4. **MANNER OF REFUND:** Please make the refund by crediting \$718.00 to Deposit Account No. 23-0925.

Date: 11-13-02

Customer ID: 021567

Telephone: (509) 624-4276

By: 
Mark S. Matkin, Reg. No. 32,268
WELLS ST. JOHN P.S.
601 West First Avenue, Suite 1300
Spokane, WA 99201-3828

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MI2211577A10-1-1

(Request for Refund - page 2 of 2)

PTO/SB/17 (2/98)
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
 or use through 9/30/2000. OMB 0651-0032

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
 These are the fees effective October 1, 1997.
 Small Entity Fees must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)
 1,590.00

Complete if Known

Application Number: Unknown
 Filing Date: December 4, 2000
 First Named Inventor: Charles H. Dennison
 Examiner Name: Unknown
 Group / Art Unit: Unknown
 Attorney Docket No.: M122-1577

METHOD OF PAYMENT (check one)

1. ☒ Debtor is hereby authorized to charge
 to the account and credit any over payments to:

Deposit Account Number: 125
 Deposit Account Name: St. John, Roberts

☒ Charge the issue fee in 37 C.F.R. §1.18 at the Mailing of the Notice of Allowance
☐ Charge the issue fee set in 37 C.F.R. §1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment enclosed:
☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101	5	Utility filing fee	710.00
103		Design filing fee	
107		Plant filing fee	
108		Reissue filing fee	
114		Provisional filing fee	
TOTAL (1)			(\$) 710.00

2. EXCESS CLAIMS FEES

Total Claims	Fee from below	Fee Paid
140	18	720
15	80	160
		0

For Reissues, see below

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103		Claims in excess of 20	
102		Independent claims in excess of 3	
104		Multiple dependent claim, if not paid	
109		Reissue independent claims over original patent	
110		Reissue claims in excess of 20 and over original patent	
TOTAL (2)			(\$) 880.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	0.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	139	130	Non-English specification	0.00
147	2,520	147	2,520	For filing a request for reexamination	0.00
112	920	112	920	Requesting publication of SIR prior to Examiner action	0.00
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	0.00
115	110	215	55	Extension for reply within first month	0.00
116	400	216	200	Extension for reply within second month	0.00
117	650	217	475	Extension for reply within third month	0.00
118	1,510	218	735	Extension for reply within fourth month	0.00
128	2,060	228	1,030	Extension for reply within fifth month	0.00
119	310	219	135	Notice of Appeal	0.00
120	310	220	165	Filing a brief in support of an appeal	0.00
121	270	221	135	Request for oral hearing	0.00
138	1,510	138	1,510	Petition to institute a public use proceeding	0.00
140	110	240	55	Petition to revive - unavoidable	0.00
141	1,320	241	660	Petition to revive - unintentional	0.00
142	1,320	242	660	Utility issue fee (or reissue)	0.00
143	450	243	225	Design issue fee	0.00
144	670	244	335	Plant issue fee	0.00
145	130	123	130	Petitions to the Commissioner	0.00
146	50	123	50	Petitions related to provisional applications	0.00
126	240	126	240	Submission of Information Disclosure Sheet	0.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	0.00
148	790	248	395	Filing a submission after final rejection (37 CFR 1.129(a))	0.00
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	0.00
Other fee (specify)				0.00	
Other fee (specify)				0.00	

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
0.00

SUB

Typ
Prin

Sign

Burden
commu.
Washing

Matkin

Date

12-1-00

Complete (if applicable)

Reg. Number

32,268

Deposit Account
User ID

Time is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
 you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office.
 NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents.

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12/04/2000

1577 (MSM)
Technology, Inc.

Comp of the U.S. Patent and Trademark Office acknowledges receipt
of application for letters patent of the following:
Inventor: Charles M. Dennison
Title: "Field Effect Transistors, Integrated Circuitry, Methods
of Forming Field Effect Transistor Gates, and Methods of
Forming Integrated Circuitry"

Return Receipt Postcard
Utility Patent Application Transmittal
Check for \$1,590.00
Fee Transmittal (in duplicate)
True copy of parent application including 23 Specification,
Claims and Abstract pages plus title page; Drawings
Declaration
Preliminary Amendment
3 sheets of formal drawings (Figs. 1-6)
US w/PTO-1449 and copy of cited art reference.



10641 U.S. PTO
09/730335

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